

"RTI / CONSORTIUM DECLARATION" FORM

**(TO BE WRITTEN ON MANDATE HOLDER
LETTERHEAD)**

Tender Reference no. _____ for award of the contract for

The undersigned Enterprises

1)

with registered office and fiscal domicile in

Registration in the Companies Register and tax code

VAT number

Telefax

tel.

E-mail

in the person of *(legal representative or authorized signatory)*

born in

on the

and residing at

in

tax code

2)

with registered office and fiscal domicile in

Registration in the Companies Register and tax code

VAT number

Telefax

tel.

E-mail

in the person of *(legal representative or authorized signatory)*

born in

on the

and residing at

in

tax code

3)

with registered office and fiscal domicile in

Registration in the Companies Register and tax code

VAT number

- the Mandating Company..... will perform the following activities:
 [with a share in the business grouping equal to];

(Note: In case of a contract awarded according to the provisions of Leg. Decree no. 50 of 2016 it would be necessary that:
- for contracts of works assigned to a horizontal R.T.I. the Mandate Holder has in a greater majority the economic-financial and technical organizational requirements and in all cases in an amount not below 40%, the remaining percentage could be held collectively by the Mandating Companies but in all cases with a minimum share each of 10%.
- for contracts of works assigned to a vertical R.T.I. the economic-financial and technical organizational requirements should be held by the Mandate Holder for the main category; in the unbundled categories each mandating company has the requirements for the amount of works relating to the category it intends to assume;
- in case of contract of services and supplies assigned to a horizontal or vertical RTI, the Mandate Holder must have the requirements and perform the services assigned to the majority extent)

Also, in the event of contract award, a copy of the articles of association of the Temporary Business Grouping, drafted as per the “RTI Model” attached to the Bid Request, shall be submitted.

[or

their own intention to participate as a consortium/cooperative society.

The Bid submitted as aforesaid shall be signed by all the enterprises which intend to form the Consortium and hereby undertake to confer, in the event of contract award, a special collective mandate with power of representation to (the Mandate Holder).

The participation shares in the Consortium are as follows:

- the Enterprise:
-
- the Enterprise:
-
- the Enterprise:
-

In the event of contract award, the individual Consortium enterprises activated will be:

- the Enterprise:
- (specify the relevant amount)

- the Enterprise:
..... (specify the relevant amount)
- the Enterprise:
..... (specify the relevant amount)

Also, in the event of contract award, a copy of the articles of association of the Consortium, drafted in accordance with the applicable legislation, shall be submitted.

Place and date.....

(Signature of the Enterprises)

.....
.....
.....
.....

Instructions for control chain disclosure

Each entity forming the Temporary Business Grouping or the consortium/cooperative society shall produce a self-declaration specifying its own corporate control chain. In particular, those individuals/companies shall be identified who/which hold, directly or indirectly, at least 25% of the capital stock of each entity.

Such self-declaration shall specify if the control is held via fiduciary entrustment of stocks and, should the latter be the case, provide information on the identity of the settlors (ultimate beneficiaries – individuals).

If part of the control chain relates to Private Equity Funds, each entity shall produce a self-declaration containing the following information:

- identification of the General Partner, its own top managers (Partners and Managing Directors), irrespective of their shareholding in counterparty, and names of Limited Partners. If there is a large number of Limited Partners, an alternative declaration is sufficient which states that no investor holds more than a 5% share of the capital stock of the fund or, in case of presence of investors with a shareholding exceeding 5%, the names of such investors shall be provided;
- confirmation that the Fund's General Partner has adopted Anti-Money Laundering, CTF (Counter Terrorism Financing) and PEP (Politically Exposed Person) policies, operates in compliance with these policies and has identified and continues to identify all investors in the Fund;
- place and date of birth and nationality of the names disclosed;
- undertaking to communicate any changes to the ownership structure that may occur after submission of the self-declaration.

The self-certification must be dated, signed and sent by e-mail to the COMPANY by a person designated as the Legal Representative or other Delegated Person with signatory powers, drafted on company letterhead and accompanied by a copy of his/her valid identification document and by adequate evidence regarding the signatory powers of the person signing.

In case of Foundation (either for-profit or non-profit), the Foundation's articles of association must be attached and the following information must be provided:

- the Foundation's purposes, the main activities that it manages and/or finances, as well as the rules of incorporation of the control or management body;
- the methods of management of profits, if any;
- the names of the persons forming the control or management body.